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PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$) 355

### 2. EXTRA CLAIM FEES

Total Claims 12 -20\*\* = 12 X 30 = 360  
Independent Claims 12 -3\*\* = 9 X 40 = 360  
Multiple Dependent

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 \*\* Reissue independent claims  
over original patent

110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent

SUBTOTAL (2) (\$) 360

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>Code | Fee Description   | Fee Paid |
|--------------------------------|--------------------------------|---|----------|
| 105 130                        | 205 65                         | Surcharge - late filing fee or oath   |          |
| 127 50                         | 227 25                         | Surcharge - late provisional filing fee or<br>cover sheet                     |          |
| 139 130                        | 139 130                        | Non-English specification   |          |
| 147 2,520                      | 147 2,520                      | For filing a request for <i>ex parte</i> reexamination                        |          |
| 112 920*                       | 112 920*                       | Requesting publication of SIR prior to<br>Examiner action                     |          |
| 113 1,840*                     | 113 1,840*                     | Requesting publication of SIR after<br>Examiner action                        |          |
| 115 110                        | 215 55                         | Extension for reply within first month  |          |
| 116 390                        | 216 195                        | Extension for reply within second month                                       |          |
| 117 890                        | 217 445                        | Extension for reply within third month  |          |
| 118 1,390                      | 218 695                        | Extension for reply within fourth month                                       |          |
| 128 1,890                      | 228 945                        | Extension for reply within fifth month  |          |
| 119 310                        | 219 155                        | Notice of Appeal  |          |
| 120 310                        | 220 155                        | Filing a brief in support of an appeal  |          |
| 121 270                        | 221 135                        | Request for oral hearing  |          |
| 138 1,510                      | 138 1,510                      | Petition to institute a public use proceeding                                 |          |
| 140 110                        | 240 55                         | Petition to revive - unavoidable  |          |
| 141 1,240                      | 241 620                        | Petition to revive - unintentional  |          |
| 142 1,240                      | 242 620                        | Utility issue fee (or reissue)  | 620      |
| 143 440                        | 243 220                        | Design issue fee  |          |
| 144 600                        | 244 300                        | Plant issue fee   |          |
| 122 130                        | 122 130                        | Petitions to the Commissioner   |          |
| 123 50                         | 123 50                         | Processing fee under 37 CFR 1.17(q)   |          |
| 126 180                        | 126 180                        | Submission of Information Disclosure Stmt                                     |          |
| 581 40                         | 581 40                         | Recording each patent assignment per<br>property (times number of properties) |          |
| 146 710                        | 246 355                        | Filing a submission after final rejection<br>(37 CFR § 1.129(a))              |          |
| 149 710                        | 249 355                        | For each additional invention to be<br>examined (37 CFR § 1.129(b))           |          |
| 179 710                        | 279 355                        | Request for Continued Examination (RCE)                                       |          |
| 169 900                        | 169 900                        | Request for expedited examination<br>of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 620

## SUBMITTED BY

Name (Print/Type)

YU Zhon

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone (201)-991-8090

Signature

Zhou Yu

Date

Feb. 7, 2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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|  |                      |         |
|--|----------------------|---------|
| <b>REQUEST AND CERTIFICATION<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor | Yu Zhou |
|  | Title                |         |
|  | Atty Docket Number   |         |

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Feb. 7, 2001

Date



Signature

Yu Zhou

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**In The United States Patent and Trademark Office**

HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D. C. 20231

Feb.7, 2001

Dear Sir:

Enclosed please find the following items:

1. A check
2. A finished patent document of a process of synthesizing metal borohydrides
3. A finished PTO/SB/17 (11-00) form
4. A finished PTO/SB/05 (11-00) form
5. A finished PTO/SB/35 (11-00) form
6. A finished PTO/SB/01 (10-00) form
7. A Non-disclosure Agreement
8. A form concerning information disclosure citation in application

In addition, I state here that I am as a **small entity** to apply the patent, so I pay the application fee according to the standard of small entity.

Please do not hesitate to advise me if there is anything concerning my application.

Thank you very much

Respectfully submitted

  
Yu Zhou

11 Lafayette PL, 2<sup>nd</sup> floor  
Kearny, NJ 07032

Tel: (201) 991 8090  
Email: flamingzhou@yahoo.com